

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ragnar LYNG

Serial No. 09/936,900

Filed May 15, 2001

Attn: Accounting Division
Refund Branch

Attorney Docket No. 2001_1446A

Confirmation No. 3059

STATOR IN A ROTATING ELECTRIC MACHINE

[Corresponding to PCT/NO00/00103

Filed March 22, 2000]

SEP 31 REF
Room 307

81 Reg for
Refund

01-09-03

REQUEST FOR REFUND

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Our records reveal that on August 19, 2002 our deposit account No. 23-0975 was charged in the amount of \$168.00 in connection with the filing of the above-identified PCT application. The amount of that charge is incorrect.

The above-identified application was originally filed on September 20, 2001, and was accompanied by a Preliminary Amendment. In the Preliminary Amendment original claims 1-12 were cancelled and replaced with new claims 13-33, i.e. a total of 21 claims. We attach a copy of the PCT fee transmittal forwarded on September 20, 2001 for your review.

Therefore, since the fee for one claim in excess of twenty (20) has already been paid, the charge to our deposit account in the amount of \$168.00 is incorrect.

Accordingly, we request that the charge of \$168.00 be refunded to our deposit account No. 23-0975.

Respectfully submitted,

Ragnar LYNG

By Michael S. Huppert
Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

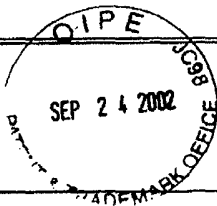
MSH/kjf
WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006-1021
Telephone (202) 774-8200
Facsimile (202) 774-8250
September 24, 2001

2001_1446A

FORM PTO 1390
(REV. 5-95)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371**



ATTORNEY DOCKET NUMBER
2001_1446A

U.S. APPLICATION NO.
(If known, see 37 CFR 1.2)
NEW

International Application No.
PCT/NO00/00103

International Filing Date
March 22, 2000

Priority Date Claimed
March 22, 1999

Title of Invention
STATOR IN A ROTATING ELECTRIC MACHINE

Applicant(s) For DO/EO/US
Ragnar LYNG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. Attachment "A"
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). Attachment "B"
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been transmitted. However, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been transmitted. No amendment will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below are other document(s) or information included:

11. ☒ An Information Statement under 37 CFR 1.97 and 1.98. Attachment "C"
12. ☐ An assignment document recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. Attachment "D"
☐ A **SECOND** or **SEQUENT** preliminary amendment.
14. ☒ Other items or information:
 - Unexecuted Declaration and Power of Attorney - Attachment "E"
 - International Preliminary Examination Report (IPER) - Attachment "F"

COPY

U.S. APPLICATION NO. (37 CFR 1.53)
NEW

15. ☒ The following fees are

BASIC NATIONAL FEE

Neither international preliminary examination nor international search report has been filed by the EPO or JPO
International Search Report has been filed by the EPO or JPO
International preliminary examination has been paid to USPTO
International preliminary examination of PCT Article 33(1)-(4) has been paid to USPTO
International preliminary examination of PCT Article 33(1)-(4) has not been paid to USPTO

ENTER APPROPRIATE

Surcharge of \$130.00 for failure to file a declaration of intent to claim priority date (37 CFR 1.55)

Claims
Total Claims
Independent Claims
Multiple dependent claim(s) (37 CFR 1.103)

TOTAL

☒ Small Entity Status (37 CFR 1.15)

Processing fee of \$130.00 for failure to file a declaration of intent to claim priority date (37 CFR 1.55)

Fee for recording the enclosure (37 CFR 1.103) appropriate cover sheet (37 CFR 1.103)

- a. ☒ A check in the amount of \$_____ is enclosed.
b. ☐ Please charge my Deposit to my credit card. A duplicate copy of this form is being filed.
c. ☐ The Commissioner is hereby notified of overpayment to Deposit.

NOTE: Where an appropriate fee (b) must be filed and granted.

19. CORRESPONDENCE ADDRESS



DATE

INTERNATIONAL APPLICATION NO.
PCT/NO00/00103

ATTORNEY'S DOCKET NO.
2001 1446A

CALCULATIONS

PTO USE ONLY

PER 1.492(a)(1)-(5):

Fee for international search fee paid to USPTO
Fee for international search fee paid by the EPO or JPO \$ 1000.00
Fee for international search fee paid by the EPO or JPO \$ 860.00
Fee for international search fee paid to USPTO but international search was not conducted \$ 710.00
Fee for international search fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00
Fee for international search fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

BASIC FEE AMOUNT =

\$1,000.00

For declaration later than ☐ 20 ☐ 30 months from the earliest

\$

Number Filed	Number Extra	Rate
1		X \$18.00
-0-		X \$80.00
		+ \$270.00

\$18.00

\$

\$

OVERALL CALCULATIONS =

\$1,018.00

d. Above fees are reduced by 1/2.

\$509.00

SUBTOTAL =

\$509.00

English translation later than ☐ 20 ☐ 30 months from the earliest

+

\$

NATIONAL FEE =

\$509.00

37 CFR 1.21(h)). The assignment must be accompanied by an assignment fee of \$40 per property +

\$

ALL FEES ENCLOSED =

\$509.00

Amount to be refunded

\$

Amount to be charged

\$

The above fees is enclosed. A duplicate copy of this form is enclosed.

75 in the amount of \$_____ to cover the above fees.

Charge any additional fees which may be required, or credit any overpayment to Deposit.

If a fee under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or 1.137(b)) must be filed and granted.

By:

Michael S. Huppert

Michael S. Huppert,
Registration No. 40,266

WENDEROTH, LIND & PONACK, L.L.P.

2033 "K" Street, N.W., Suite 800

Washington, D.C. 20006-1021

Phone: (202) 721-8200

Fax: (202) 721-8250

September 20, 2001

[CHECK NO. _____]

[2001_1446A]